

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re divisional patent application of 10/121,678 filed on April 15, 2002

Toru Hosoi

Serial No.: Not Yet Assigned

Group Art Unit: Not Yet Assigned

Filing Date: Concurrently Herewith

Examiner: Unknown

For: ARRAY WAVEGUIDE GRATING, ARRAY WAVEGUIDE GRATING MODULE,  
OPTICAL COMMUNICATION UNIT AND OPTICAL COMMUNICATION SYSTEM

**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Under the provisions of 37 CFR §1.97 through §1.99 and pursuant to applicant's duty of disclosure under 37 CFR §1.56, applicant respectfully brings the following documents listed on the attached form PTO-1449, to the attention of the Examiner in charge of the above-identified application. All of these references were either cited or submitted in parent Application No. 10/121,678 and thus copies of these references are not provided in accordance with 37 C.F.R. §1.98(d).

This citation does not constitute an admission that the references are relevant or material to the claims. They are only cited as constituting related art of which the applicant is aware.

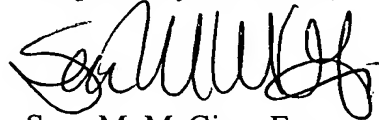
It is respectfully requested that the listed references be considered by the Examiner and formally made of record in this application.

Rule 53(b) Divisional of Application No. 10/121,678  
filed April 15, 2002

Please charge any deficiencies in fees and credit any overpayment of fees to Attorney's

Deposit Account No. 50-0481.

Respectfully submitted,



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Date: 1/21/04

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**INFORMATION DISCLOSURE CITATION***(Use several sheets if necessary)*

Docket Number (Optional)

**2001P116749 DIV**

Application Number

**Not Yet Assigned**

Applicant(s)

**Toru Hosoi**

Filing Date

**Concurrently Herewith**

Group Art Unit

**Not Yet Assigned****U.S. PATENT DOCUMENTS**

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

**FOREIGN PATENT DOCUMENTS**

	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO
		09-297228	11/18/97	JAPAN			ABS	
		2002-090561	03/27/02	JAPAN			ABS	
		04-326308	11/16/92	JAPAN			ABS	

**OTHER DOCUMENTS** *(Including Author, Title, Date, Pertinent Pages, Etc.)*

		Japanese Office Action dated January 21, 2003, with partial English translation.

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.